



ZAGREBAČKA
BURZA

Zagreb Stock Exchange

Zagrebačka burza d.d.

2016.

Izvještaj o zaštiti okoliša i
socijalnim pitanjima

Zagreb, veljača 2017.

Izveštaj o zaštiti okoliša i socijalnim pitanjima

U skladu s čl. 5.05. *Subscription Agreement*a između Europske banke za obnovu i razvoj i Zagrebačke burze, ovime:

1. potvrđujemo da se tijekom 2016. godine Društvo pridržavalo zahtjeva određenih za postupanje prema okolišu (eng. *Designated Performance Requirements*), opisanih u čl. 5.02. (prilog br. 1.),
2. potvrđujemo da su poslovanje i aktivnosti Društva tijekom 2016. godine bili u skladu sa socijalnim pravom (prilog br. 2.),
3. prilažemo informacije o upravljanju zaštitom zdravlja i sigurnosti na radnom mjestu te evidenciju o zaštiti na radu u poslovanju i aktivnostima Društva (prilog br. 3.),
4. potvrđujemo da tijekom 2016. godine nije bilo promjena socijalnog prava koje bi mogle bitno utjecati na poslovanje i aktivnosti Društva,
5. potvrđujemo da tijekom 2016. godine nije bilo informacija o zaštiti okoliša i socijalnim pitanjima koje Društvo dostavlja svojim dioničarima i javnosti.

Ivana Gažić
Predsjednica Uprave

Tomislav Gračan
Član Uprave



European Bank

for Reconstruction and Development - Attachment 1.

Annual Environmental & Social Report for Stock Exchanges

Background on the Stock Exchange

Name of Stock Exchange: Zagreb Stock Exchange, Inc.

Address: Ivana Lučića 2a/22, Zagreb

Country: Croatia

Company authorized representative (): Ivana Gažić

I certify that the data contained in this report completely and accurately represents operations during this reporting period.

Signature:

Title: President of the Management Board

Date: 07.02.2017.

Contact Details

Telephone: +385 1 4686806

E-mail: ir@zse.hr

Date of Report: 7. 2. 2017

Reporting Period: 1. 1. – 31. 12. 2016

Does the Stock exchange operate internationally?

Operates in more than one country

Operates only in this country

Number of employees in country

<50

51-100

101-500

>500

Other IFIs / MDBs / Donors providing financing to the Stock exchange

IFC

EIB

EU

World Bank

ADB

FMO

KFW/DEG

Other (please specify)

Nature of business relationship(s) with EBRD covered in this report

Operation

Loan

Fund

SME

TFP

Leasing

Equity

MSME

Mortgage

Section 1: Compliance with EBRD PR9:

Environmental Capacity and Support

Does the stock exchange have a formally adopted Environmental and Social Policy or Procedures?

Yes

No

What aspects does the Environmental and Social (E&S) Policy cover?

Environment

Social (eg labour issues)

Health and Safety

E&S issues associated with the stock exchange

E&S issues associated with companies (to be) listed and papers traded

Not Applicable

No

Does the Exchange participate in The Sustainable Stock Exchanges Initiative?

<http://www.sseinitiative.org>

Yes

No

Don't know

Are any of the companies whose papers are listed on the Exchange involved in activities on the EBRD's Environmental and Social Exclusion List? (attached)?

Yes

No

Don't Know

Does the stock exchange list and trade papers of companies with high E&S risk clients according to EBRD's environmental and social risk categorisation list (see http://www.ebrd.com/who-we-are/our-values/environmental-and-social-policy/tools-for-financial-intermediaries.html%20)	<input type="checkbox"/> Don't know.
Provide a sample breakdown by industry sector of listed companies dating from the last quarter.	<input type="checkbox"/> Breakdown by industry sector of listed companies on 30 December 2016 attached.
Do listing rules for issuing companies specifically cover disclosure of material E&S risks and liabilities, and how they are being addressed by the company?	<input type="checkbox"/> Yes (please give details and state where the requirement is located, e.g. company law, Stock Exchange regulations, Stock exchange's own statutes/policies etc.) <input checked="" type="checkbox"/> No
Does the stock exchange offer sustainability-related guidance and/or training companies and/or investors?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Don't know
Please provide details of any initiatives taken or planned by the Exchange to raise issuing companies' awareness and/or to promote or require better transparency and disclosure on E&S-related performance and risk factors".	<input type="checkbox"/> There were no initiatives taken or planned by the Exchange to raise issuing companies' awareness and/or to promote or require better transparency and disclosure on E&S-related performance and risk factors
Does the Exchange operate or plan to operate any sustainability indices?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
Has the Stock exchange signed any national, international or industry agreements or declarations concerning environmental and social issues?	<input type="checkbox"/> Yes (please provided details) <input checked="" type="checkbox"/> No
Stakeholder Engagement	
Is there a point of contact for dealing with public enquiries and concerns related to environmental and social matters?	Name: Marina Selman Title: Head of Investor relations Phone/mobile: +385 1 4686 806 E-mail: ir@zse.hr
Does the Stock exchange disclose project / environmental/social information to stakeholders? (eg via web site, local newspapers, dialogue with issuing companies or investors on environmental and social matters, etc)?	<input type="checkbox"/> Yes (please provide details) <input checked="" type="checkbox"/> No

Section 2: Compliance with EBRD's PR2: Labour and Working Conditions

2.1 What is the name of the employee with primary overall responsibility for Human Resource Management in the Stock exchange?

Name: Igor Poljanić
 Title: Head of Legal Affairs and Human Resources
 Phone/Mobile: +38514686816
 E-mail: igor.poljanic@zse.hr

2.2 Human Resources Management

<p>2.2.1 Have there been any changes to the following policies or terms and conditions during the reporting period:</p> <ul style="list-style-type: none"> • Non-discrimination and equal opportunity policy • Employment of young persons under age 18 • Wages (wage level, normal and overtime) • Overtime • Working hours • Grievance mechanism for workers • Union recognition or negotiation • Health & safety 	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	If yes, please give details:
<p>2.2.2 Does the company have policies and/or procedures for any of the following:</p> <ul style="list-style-type: none"> • Gender equality • Equal pay for work of equal value • Anti-harassment/bullying • Promoting family friendly work and the work/life balance 	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/> Yes <input checked="" type="checkbox"/> No <input type="checkbox"/> Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	The Company has established anti-harassment program for workers to raise workplace concerns related to protection of employees' dignity. Program allows for confidential complaints to be raised and addressed. Person responsible for the above is Ivana Gažić, president of the Management Board.
<p>2.2.3 Were there any collective redundancies during the reporting period?</p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	If yes, please describe the redundancy plan, including reasons for redundancies, number of workers involved, how they were selected, and consultation undertaken:
<p>2.2.4 Are there any planned redundancies or additions to the workforce in the next year?</p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	
<p>2.2.5 Have employees raised any grievances with the stock exchange during the reporting period?</p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	If yes, please state how many, summarise the issues raised in grievances (disaggregated by gender) and explain how the Stock exchange has addressed them:
<p>2.2.6 Have there been any strikes or other collective disputes related to labour and working conditions at the Stock exchange in the reporting period?</p>	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	If yes, please summarise nature of disputes and how they were resolved

2.2.7 Have there been any court cases related to labour issues during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>	If yes, please summarise the issues contested and outcome.
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Section 3: Compliance with EBRD PR4: Occupational Health and Safety (or Workplace Health and safety)

What is the name of the employee with primary overall responsibility for Occupational Health and Safety within the Institution?
Name: Igor Poljanić
Title: Head of Legal Affairs and Human Resources
Phone/mobile: +385 1 4686816
E-mail: igor.poljanic@zse.hr

Occupational and Safety (OHS) Data

Please provide details of any fatalities or major accidents that have not been reported to EBRD during the reporting period.
 There were no fatalities or major accidents in 2016.

Please summarise any emergency prevention and response training that has been provided for company personnel during the reporting period:
 One employee participated in a training related to health and safety hazards.

Please summarise any emergency response exercises or drills that have been carried out during the reporting period:
 There was one emergency response exercise in 2016.

- Abbreviations:**
 ADB – Asian Development Bank
 EBRD – European Bank for Reconstruction and Development
 EIB – European Investment Bank
 FMO – Netherlands Development Finance Company
 IFC – International Finance Corporation
 IFI – International Finance Stock exchange
 KfW/DEG – KfW Banking Group Germany Development Corporation
 MDB – Multilateral Development Bank

EBRD Environmental and Social Exclusion List

EBRD will not knowingly finance, directly or indirectly, projects involving the following:

- (a) the production of or trade in any product or activity deemed illegal under host country (i.e. national) laws or regulations, or international conventions and agreements, or subject to international phase out or bans, such as:
 - (i) Production of or trade in products containing PCBs.¹
 - (ii) Production of or trade in pharmaceuticals, pesticides/herbicides and other hazardous substances subject to international phase-outs or bans.²
 - (iii) Production of or trade in ozone depleting substances subject to international phase out.³
 - (iv) Trade in wildlife or production of or trade in wildlife products regulated under CITES.⁴
 - (v) Transboundary movements of waste prohibited under international law.⁵
- (b) Production or use of or trade in unbonded asbestos fibres or asbestos-containing products.
- (c) Activities prohibited by host country legislation or international conventions relating to the protection of biodiversity resources or cultural heritage.⁶
- (d) Drift net fishing in the marine environment using nets in excess of 2.5 km. in length.
- (e) Shipment of oil or other hazardous substances in tankers which do not comply with IMO requirements.⁷
- (f) Trade in goods without required export or import licenses or other evidence of authorization of transit from the relevant countries of export, import and, if applicable, transit.

¹ PCBs: Polychlorinated biphenyls are a group of highly toxic chemicals. PCBs are likely to be found in oil-filled electrical transformers, capacitors and switchgear dating from 1950-1985.

² Reference documents are Council Regulation (EEC) No 2455/92 of 23 July 1992 Concerning the Export and Import of Certain Dangerous Chemicals, as amended from time to time; United Nations Consolidated List of Products whose Consumption and/or Sale have been Banned, Withdrawn, Severely Restricted or not Approved by Governments; Convention on the Prior Informed Consent Procedures for Certain Hazardous Chemicals and Pesticides in International Trade (Rotterdam Convention); Stockholm Convention on Persistent Organic Pollutants; World Health Organisation Recommended Classification of Pesticides by Hazard.

³ Ozone Depleting Substances (ODSs): Chemical compounds which react with and deplete stratospheric ozone, resulting in the widely publicised 'ozone holes'. The Montreal Protocol on Substances that Deplete the Ozone Layer lists ODSs and their target reduction and phase out dates. A list of the chemical compounds regulated by the Montreal Protocol, which includes aerosols, refrigerants, foam blowing agents, solvents, and fire protection agents, together with details of signatory countries and phase out target dates, is available from the United Nations Environment Programme.

⁴ CITES: The Convention on International Trade in Endangered Species of Wild Fauna and Flora. A list of CITES listed species is available from the CITES secretariat.

⁵ Reference documents are: Regulation (EC) No 1013/2006 of 14 June 2006 on shipments of waste; Decision C(2001)107/Final of the OECD Council concerning the revision of Decision C(92)39/Final on the control of transboundary movements of wastes destined for recovery operations; Basel Convention on the Control of Transboundary Movements of Hazardous Wastes and their Disposal.

⁶ Relevant international conventions include: Convention on the Conservation of Migratory Species of Wild Animals (Bonn Convention); Convention on Wetlands of International Importance, especially as Waterfowl Habitat (Ramsar Convention); Convention on the Conservation of European Wildlife and Natural Habitats (Bern Convention); Convention Concerning the Protection of the World Cultural and Natural Heritage; Convention on Biological Diversity.

⁷ This includes: tankers which do not have all required International Convention for the Prevention of Pollution from Ships (MARPOL), International Convention for the Safety of Life at Sea (SOLAS) certificates (including, without limitation International Safety Management Code compliance), tankers blacklisted by the European Union or banned by the Paris Memorandum of Understanding on Port State Control (Paris MOU) and tankers due for phase out under MARPOL regulation 13G. No single hull tanker over 25 years old should be used.



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Sektorska klasifikacija

Zagreb, veljača 2017.

Oznaka	Naziv	Broj uvrštenih vrijednosnica
A	Poljoprivreda, šumarstvo i ribarstvo	8
CA	Proizvodnja prehrambenih proizvoda, pića i duhanskih proizvoda	19
CB	Proizvodnja tekstila, odjeće, kože i srodnih proizvoda	6
CC	Proizvodnja proizvoda od drva i papira, tiskanje	2
CD	Proizvodnja koksa i rafiniranih naftnih proizvoda	1
CE	Proizvodnja kemikalija i kemijskih proizvoda	3
CF	Proizvodnja osnovnih farmaceutskih proizvoda i farmaceutskih pripravaka	1
CG	Proizvodnja proizvoda od gume i plastike te proizvodnja ostalih nemetalnih mineralnih proizvoda	1
CH	Proizvodnja osnovnih metala i proizvodnja izrađenih metalnih proizvoda, osim strojeva i opreme	2
CI	Proizvodnja računala te elektroničkih i optičkih proizvoda	2
CJ	Proizvodnje električne opreme	6
CL	Proizvodnja transportne opreme	4
CM	Ostala prerađivačka industrija, popravak i instaliranje strojeva i opreme	3
F	Građevinarstvo	6
G	Trgovina na veliko i na malo; popravak motornih vozila i motocikala	14
H	Prijevoz i skladištenje	10
I	Djelatnosti pružanja smještaja te pripreme i usluživanja hrane	30
JA	Izdavačke djelatnosti, filmska djelatnost i djelatnosti snimanja zvučnih zapisa te djelatnosti emitiranja programa	2
JB	Telekomunikacije	2
K	Financijske djelatnosti i djelatnosti osiguranja	25
L	Poslovanje nekretninama	2
MA	Pravne, računovodstvene, upravljačke, arhitektonske djelatnosti i inženjerstvo te tehničko ispitivanje i analiza	11
MB	Znanstveno istraživanje i razvoj	2
R	Umjetnost, zabava i rekreacija	1

Ivana Gažić
Predsjednica Uprave

Tomislav Gračan
Član Uprave

Prilog 2. Postupanje u skladu sa socijalnim pravom

Naši su zaposlenici naš najvažniji resurs. Naša je politika postupanje u skladu s mjerodavnim zakonima i propisima, među kojima su oni o radnom vremenu, naknadama, mogućnosti, ljudskim pravima i radnim uvjetima.

Tijekom 2016. godine Zagrebačka burza postupala je u skladu sa sljedećim zakonima:

1. Zakon o radu
2. Zakon o zaštiti na radu

Društvo nema obvezu pridržavanja odredbi Zakona o zaštiti okoliša.

Tijekom 2016. godine nije bilo provođenja inspekcija bilo kojeg regulatornog tijela, kao ni kršenja mjerodavnih zakona, propisa ni normi te nisu poduzimane korektivne mjere ni propisane kazne u vezi s takvim kršenjem.

Nije bilo bitnih obavijesti, izvještaja ni drugog priopćavanja o zaštiti okoliša i socijalnim pitanjima u vezi s poslovanjem i aktivnostima Društva koje Društvo dostavlja bilo kojem regulatornom tijelu.

Prilog 3. Informacije o upravljanju zaštitom zdravlja i sigurnosti na radnom mjestu

Zagrebačka burza prepoznaje važnost sprječavanja ili ublažavanja nepovoljnih utjecaja i problema za zdravlje i sigurnost radnika.

Društvo je donijelo Pravilnik o zaštiti na radu kojim se uređuje njegov pristup u pogledu vlastite veličine i radne snage u skladu s nacionalnim pravom.

Tijekom 2016. godine nije bilo provođenja inspekcija bilo kojeg regulatornog tijela, kao ni kršenja mjerodavnih zakona, propisa ni normi. Društvo nije dostavilo nikakve bitne obavijesti ni izvještaje o zaštiti okoliša i socijalnim pitanjima u vezi s poslovanjem i aktivnostima Društva bilo kojem regulatornom tijelu.

U studenom 2016. ovlašteno društvo Institut za sigurnost Zagreba provelo je nadzor i izdalo zaključak da Društvo postupa u skladu sa svim pravilima upravljanja zaštitom zdravlja i sigurnosti na radnom mjestu.

Društvo svojim radnicima pruža odgovarajuće informacije, smjernice i obuku u vezi s opasnostima za zdravlje i sigurnost, rizicima, zaštitnim i preventivnim mjerama i mehanizmima za slučaj nužde koji su potrebni za njihovu sigurnost.

Tijekom 2016. nije bilo nesreća na radu, nezgoda koje bi prouzročile gubitak radnog vremena ni izbjegnutih nesreća, kao ni poduzimanja ni planiranja olakšavajućih mjera od strane Društva. Jedan je zaposlenik sudjelovao u obuci o opasnostima za zdravlje i sigurnost.