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ZAGREB STOCK EXCHANGE Ivana Lučića 2a 10 000 Zagreb

CROATIAN FINANCIAL SERVICES SUPERVISORY AGENCY (HANFA) Franje Račkog 6 10 000 Zagreb

OTS HINA

Home member state: Croatia

LEI: 549300A2F46GR0UOM390

Official Market, Zagreb Stock Exchange

Bond 3RIB3

ISIN: HRRIBAO22BE0

Bond 3RIB4

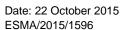
ISIN: HRRIBAO23BA6

Zagreb, July 06, 2020

SUBJECT: Information on Home Member State

ERSTE&STEIERMÄRKISCHE BANK d.d. with its headquarters in Rijeka, Jadranski trg 3/a, announces in accordance with Article 460 of the Capital Markets Act (OG 65/18, 17/20) that the Home Member State for the bonds 3RIB3, ISIN: HRRIBAO22BE0 and 3RIB4, ISIN: HRRIBAO23BA6 is Croatia.

ERSTE&STEIERMÄRKISCHE BANK d.d.





Standard form for the notification of Home Member State

HOME MEMBER STATE DISCLOSURE FORM

1.* Issuer Name: ERSTE&STEIERMÄRKISCHE BANK d.d.

1.bis. Formerly known asⁱ:

- 2.* Registered office: Rijeka, Jadranski trg 3a
- 3. LEIⁱⁱ: 549300A2F46GR0UOM390

3.bis National company register numberⁱⁱⁱ:

- **4.*** Home Member State^{iv}: **Croatia**
- **5.*** Triggering event^v:

	Issuer of shares admitted to trading	article 2(1)(i)(i)
X	Issuer of debt securities denominated less than EUR 1,000 admitted to trading	article 2(1)(i)(i)
Х	Issuer of other securities ^{vi}	article 2(1)(i)(ii)
	Change of home Member State	article 2(1)(i)(iii)

6.* Member State(s) where the issuer's securities are admitted to trading^{vii}:

	Shares	Debt securities < 1000€	Other securities
Austria			
Belgium			
Bulgaria			
Croatia		X	X
Cyprus			
Czech Republic			
Denmark			
Estonia			



Finland		
France		
Germany		
Greece		
Hungary		
Iceland		
Ireland		
Italy		
Latvia		
Liechtenstein		
Lithuania		
Luxembourg		
Malta		
Netherlands		
Norway		
Poland		
Portugal		
Romania		
Slovakia		
Slovenia		
Spain		
Sweden		
United Kingdom		

- **6. bis.** Former home Member State (if applicable)^{viii}:
- 7. NCAs the form is required to be filed with^{ix}:

HANFA - Croatian Financial Services Supervisory Agency

- **8*.** Date of notification: **06.07.2020.**
- 9. Start date of 3 year period^x: 30.11.2018. (ISIN: HRRIBAO23BA6)
- **10.** Additional information^{xi}:
- 11.* Contact details: ERSTE&STEIERMÄRKISCHE BANK d.d.

Issuer's address: Rijeka, Jadranski trg 3a

Person responsible within the issuer for the present notification: Maja Kuhar Sobin

E-Mail address: Compliance@erstebank.hr

Telephone: +385 72371013

(* Mandatory information)



Information on filing procedures:

Austria e-mail to: marktautsicht@ma.gu.at Belgium e-mail to: trp.fin@fsma.be Croatia via national OAM: SRPI; or postal address: Miramarska 24b, 10000 Zagreb, Croatia Cyprus e-mail to: info@cysec.gov.cy (to the attention of Issuers Department) via national OAM: http://www.cnb.cz/en/supervision_financial_market/information_published_issuers/index.html Denmark website: http://oasm.dfsa.dk/ Estonia e-mail to: info@fi.ee Finland e-mail to: info@fi.ee Finland e-mail to: info@fi.ee Finland e-mail to: info@fi.ee France via: https://onde.aml-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx Germany e-mail to: p26@bafin.de Greece e-mail to: itransparency@cmc.gov.gr Hungary e-mail to: surveillance@mnb.hu Iceland e-mail to: surveillance@mnb.hu Iceland e-mail to: regulateddisclosures@centralbank.ie Italy e-mail to: hMSdisclosureform@consob.it Latvia e-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050 Liechtenstein Lithuania e-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050 Liechtenstein Lithuania e-mail to: hms_Registration@afm.nl Norway Poland e-mail to: hms_Registration@afm.nl Norway Poland e-mail to: transparency@cssf.lu Austria e-mail to: transparency@cssf.lu Bermail to: transparency@cssf.lu France via: https://seci.nbs.sk/ Slovenia e-mail to: info@knf.gov.pl or via ESPI system Portugal e-mail to: transparency@cssf.ormania.ro Slovakia via national OAM: https://seci.nbs.sk/ Slovenia e-mail to: info@atvp.si Spain online register: https://seci.e.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en Witted Kingdom United Kingdom		T
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Croatia via national OAM: SRPI; or postal address: Miramarska 24b, 10000 Zagreb, Croatia Cyprus e-mail to: info@cysec.gov.cy (to the attention of Issuers Department) Czech Republic via national OAM: http://www.cnb.cz/en/supervision financial market/information published issuers/index.html Denmark website: http://oasm.dfsa.dk/ Estonia e-mail to: info@fi.ee Finland e-mail to: kirjaamo@finanssivalvonta.fl France via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx Germany e-mail to: p26@bafin.de Greece e-mail to: transparency@cmc.gov.gr Hungary e-mail to: surveillance@mnb.hu Iceland via national OAM: www.oam.is Ireland e-mail to: tregulateddisclosures@centralbank.ie Italy e-mail to: HMSdisclosureform@consob.it Latvia e-mail to: fktk@fktk.ly or postal address: Kungu iela 1, Riga, Latvia, LV-1050 Liechtenstein Liechtenstein Lithuania e-mail to: transparency@cssf.lu Malta Norway Poland e-mail to: HMS_Registration@afm.nl Norway e-mail to: transparency@cmvm.pt Rom		e-mail to: trp.fin@fsma.be
Cyprus e-mail to: info@cysec.gov.cy (to the attention of Issuers Department) Czech Republic via national OAM: http://www.cnb.cz/en/supervision financial market/information published issuers/index.html Denmark website: http://oasm.dfsa.dk/ Estonia e-mail to: info@fi.ee Finland e-mail to: kirjaamo@finanssivalvonta.fl France via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx Germany e-mail to: p26@bafin.de Greece e-mail to: surveillance@mnb.hu Iceland via national OAM: www.oam.is Ireland e-mail to: regulateddisclosures@centralbank.ie Italy e-mail to: https://or postal address: Kungu iela 1, Riga, Latvia, LV-1050 Liechtenstein Lithuania e-mail to: transparency@lb.lt Luxembourg e-mail to: transparency@cssf.lu Malta Netherlands e-mail to: https://cent.nbs.sk/ Poland e-mail to: iransparency@cmvm.pt Romania e-mail to: transparency@cmvm.pt Romania e-mail to: transparency@cmvm.pt Romania e-mail to: iransparency@cmvm.pt Slovakia via national OAM: https://ceri.nbs.sk/ Slovenia e-mail to: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en Sweden e-mail to: borsbolag@fi.se	Bulgaria	
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Estonia e-mail to: info@fi.ee Finland e-mail to: kirjaamo@finanssivalvonta.fi France via: https://onde.amf-france.org/RemiseInformationEmetteur/Client/PTRemiseInformationEmetteur.aspx Germany e-mail to: p26@bafin.de Greece e-mail to: transparency@cmc.gov.gr Hungary e-mail to: surveillance@mnb.hu Iceland via national OAM: www.oam.is Ireland e-mail to: regulateddisclosures@centralbank.ie Italy e-mail to: HMSdisclosureform@consob.it Latvia e-mail to: fktk@fktk.lv or postal address: Kungu iela 1, Riga, Latvia, LV-1050 Liechtenstein Lithuania e-mail to: transparency@lb.lt Luxembourg e-mail to: transparency@cssf.lu Malta Netherlands e-mail to: HMS_Registration@afm.nl Norway Poland e-mail to: dno@knf.gov.pl or via ESPI system Portugal e-mail to: transparency@cmvm.pt Romania e-mail to transparency@cmvm.pt Romania e-mail to transparency@asfromania.ro Slovakia via national OAM: https://ceri.nbs.sk/ Slovenia e-mail to: info@atvp.si Spain online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en Sweden e-mail to: borsbolag@fi.se	Czech Republic	http://www.cnb.cz/en/supervision financial market/information published issuers/index.html
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Slovenia e-mail to: info@atvp.si Spain online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en Sweden e-mail to: borsbolag@fi.se	Romania	e-mail to transparency@asfromania.ro
Spain online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en Sweden e-mail to: borsbolag@fi.se	Slovakia	via national OAM: https://ceri.nbs.sk/
Sweden e-mail to: borsbolag@fi.se	Slovenia	e-mail to: info@atvp.si
	Spain	online register: https://sede.cnmv.gob.es/sedecnmv/SedeElectronica.aspx?lang=en
United Kingdom e-mail to: tdhomestate@fca.org.uk	Sweden	e-mail to: borsbolag@fi.se
	United Kingdom	e-mail to: tdhomestate@fca.org.uk

Notes:

ⁱ In case of a change of the company name compared to the previous disclosure, please provide the issuer's former company name. In case of a first time disclosure, no information on an earlier name change is required.

ⁱⁱ Legal entity identifier.



ii In case the LEI is unavailable, please provide for identification purposes the number under which the issuer is registered with the business register of its country of incorporation.

^{iv} The home Member State pursuant to article 2(1)(i) of Directive 2004/109/EC.

^v The criteria upon which the home Member State has been determined.

^{vi} For example debt securities denominated at least EUR 1,000, units of collective investment undertaking of the closed end-type.

vii Only securities admitted to trading on regulated markets shall be taken into account.

viii Information required in case the issuer chooses a new home Member State pursuant to article 2(1)(i)(iii).

^{lx} According to article 2(1)(i), second subparagraph of Directive 2004/109/EC.

^x In case of a choice of home Member State pursuant to article 2(1)(i)(ii) of Directive 2004/109/EC.

xi Please provide any relevant additional information.